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Attorney Docket No. 48,212-CIP (904)

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Y. Sawayama, et al.

EXAMINER: Unassigned

SERIAL NO.: 09/394,327

GROUP: 2871

FILED: September 13, 1999

FOR: FRONT-ILLUMINATING DEVICE AND A REFLECTION-TYPE LIQUID CRYSTAL DISPLAY USING SUCH A DEVICE

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231 on November 12, 1999.

By: *Deborah A. Barfield*
Deborah A. Barfield

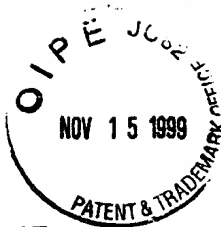
**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE GRANTED
AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Sir:

The following is in response to the Notice to File Missing Parts- Filing Date Granted (PTO-1533) mailed September 30, 1999, in the above referenced application.

Applicants believe that no extension of time is required since this response is being filed before the expiration of the specified time period. Applicants, however, conditionally petition for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. As provided below charge Deposit Account No. **04-1105** for any required fee.

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Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts-Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Number and date.

Completion of application filing fees as calculated below:

1. Surcharge for late payment of filing fee and/or late filing of original declaration or oath.....\$ 130.00
2. The statutory basic filing fee..... \$ 760.00
3. 37 total claims over 20..... \$ 666.00

TOTAL..... \$ 1556.00

Enclosed herewith is a check for \$1556.00 in payment of the total fee due.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration, to include the addresses for each of the identified inventors, and to reflect the claim for foreign priority as set forth in the enclosed declaration. As provided in Applicants Additional Information Regarding Claim of Priority filed with the subject application, the certified copies of Japanese patent application nos. 9-78211 and

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9-351794 were submitted in prior USSN 09/048,527 on March 26, 1998, the filing date of which the subject application claims the benefit of. The certified copy of Japanese patent application no. 10-260717 is being filed concurrently herewith.

Applicants believe that additional fees are not required, however, if for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**. In particular, the Commissioner is hereby authorized to charge any deficiencies in the following fees: filing fees (37 C.F.R. §1.16(a), (f) or (g)); fees associated with the presentation of extra claims (37 C.F.R. §1.16(b), (c) and (d)); surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application (37 C.F.R. §1.16(e)); extension fees pursuant to § 1.136(a) (37 C.F.R. §1.17(a)(1)-(5)); and application processing fees (37 C.F.R. §1.17).

Respectfully submitted,

DIKE, BRONSTEIN, ROBERTS
& CUSHMAN

Date: November 12, 1999

By: William J. Daley, Jr.
William J. Daley, Jr.
(Reg. No. 35,487)
130 Water Street
Boston, MA 02109
(617) 523-3400



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER PATENT & TRADEMARK	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/394,327 09/13/99 SAWAYAMA Y 48212-CIP

0232/0930

WILLIAM J DALEY JR
DIKE BRONSTEIN ROBERTS & CUSHMAN LLP
130 WATER STREET
BOSTON MA 02109-4280

NOT ASSIGNED

2871

DATE MAILED:

09/30/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 1556.00.

- ☒ 1. The statutory basic filing fee is:
☒ missing.
☐ insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:
\$ 666.00 for 37 total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:
☐ is missing or unsigned.
☒ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply. *File Missing Parts*

[Signature]
Customer Service Center
Initial Patent Examination Division (703) 308-1202

11/16/1999 NPROSASU 00000022 0939437
760.00 OP
130.00 OP
666.00 OP
01CC:101
02CC:105
03CC:103